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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of: WELCHES, Richard et al

Group Art Unit:

Serial No.: 09/966241

Examiner:

Filed: September 28, 2001

Dkt No: YOU02-US

For: LOCAL AREA GRID FOR DISTRIBUTED POWER

To: Box IDS
Assistant Commissioner of Patents
Washington DC 20231

Fm:



CERTIFICATE OF MAILING 37 CFR 1.8: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as FIRST CLASS MAIL addressed to: Assistant Commissioner of Patents, Washington, DC 20231.

Date: December 28, 2001 ☒ Andrew P. Cernota
☐ Vernon C. Maine, Reg. No. 37,389 or ☐ Scott J. Asmus, Reg. No. 42,269

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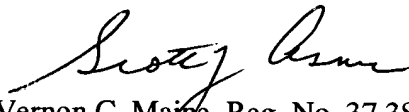
Date: ☐ Vernon C. Maine, Reg. No. 37,389 or ☐ Scott J. Asmus, Reg. No. 42,269

Dear Honorable Commissioner:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is the Applicant's Information Disclosure Statement, 1 sheets form 1449, and 19 specimens of prior art and other information. Please enter in the above applications and communicate in all related matters with the undersigned. All necessary fees are intended to be included, however the Office is hereby authorized to charge any deficiency or credit any overpayment in the fees to deposit account #500323.

Respectfully submitted,


Vernon C. Maine, Reg. No. 37,389
Scott J. Asmus, Reg. No. 42,269
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JAN 11 2002
PATENT & TRADEMARK OFFICE

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In re Application of: WELCHES, Richard et al

Group Art Unit:

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24222

PATENT TRADEMARK OFFICE

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Date: December 18, 2001 ☒ Andrew P. Cernota
☐ Vernon C. Maine, Reg. No. 37,389 or ☐ Scott J. Asmus, Reg. No. 42,269

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Date: December 18, 2001 ☐ Vernon C. Maine, Reg. No. 37,389 or ☐ Scott J. Asmus, Reg. No. 42,269

Dear Assistant Commissioner:

INFORMATION DISCLOSURE STATEMENT

Applicants submit this statement and the attached 1 sheet(s) of form PTO-1449 and 19 specimens of prior art and other information in accordance to the duty of disclosure under 37 C.F.R. §§1.56, 1.97, and 1.98, and requests consideration hereof.

Compliance with 37 C.F.R. §1.97: This Information Disclosure Statement is filed within three (3) months of the filing date of a National Application or before the mailing date of a first office action on the merits. No fee or certification is required.

Information Cited: The Applicants hereby make of record in the above-identified application, the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the reference. As all the references listed on attached Form PTO-1449 are in English, no commentary is required.

Remarks: A copy of each reference, together with a listing on Form PTO-1449(modified), is submitted herewith. Applicants respectfully request that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 (modified) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application; and

3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined in 37 C.F.R. §102.

Notwithstanding any statement by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,



Vernon C. Maine, Reg. No. 37,389
Scott J. Asmus, Reg. No. 42,269
Attorneys for Applicant(s)

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Form PTO-1449				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/966241
				Filing Date	September 28, 2001
				First Named Inventor	WELCHES, Richard
				Group Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	YOU02-US

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code	Kind			
		4,359,644		Foord	11-16-1982	
		4,419,667		Gurr et al	12-06-1983	
		4,437,575		Hahn	03-20-1984	
		5,099,348		Huddleston et al	03-24-1992	
		5,225,712		Erdman	07-06-1993	
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		5,805,856		Hanson	09-08-1998	
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		6,147,414		McConnell et al	11-14-2000	
		6,184,593 B1		Jungreis	02-06-2001	

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office Code	Number	Kind			
			JP1150870		Mitsubishi Heavy Ind	06-02-1999	
			WO9738478		Boundary Tech PTY LTD	10-16-1997	
			WO9931953		Comnet Tech Ltd	07-01-1999	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	(Including Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc...), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	T
		DARCY, Dennis M. <i>Power Electric Building Block</i> , www.satcon.com/sub/techcent/pub/pdf/pebb.pdf, Feb 23, 1999.	

Examiner Signature		Date Considered	
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* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.